Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/4259/2026/805 **By Special Messenger**
Dated: November 17, 2026

Lanka Bangla Investments Limited (Marchant Bank), 65 Kemal Ataturk Ave, Dhaka 1212, Bangladesh.

Attention: Managing Director/CEO of Lanka Bangla Investments Limited (Marchant Bank)

Subject: Warning to Ensure Compliance with All Securities-Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/4259/2026/737dated October 18, 2026, based on which the hearing was scheduled and conducted on November 02, 2026.

The Commission, considering the explanation vide letter no. 01/L-0020(BS)/2026 dated November 2, 2026, which was submitted at the hearing, has decided to **warn** you to be careful in future and also suggested that you be cautious about maintaining due diligence related to securities-related laws. Any divergence of securities-related laws and failure to fulfill lawful responsibilities will be taken seriously, and you will be brought under appropriate enforcement action.

You are hereby advised to follow up on the individual job responsibilities of the employee and hold them responsible for the lapse found in the above-mentioned show-cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For the Bangladesh Securities and Exchange Commission,

17.11.2026

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Assistant Director (Enforcement)
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Copy for information:

1. Chairman's Office, BSEC
2. Executive Director (MIAD), BSEC
3. Executive Director (ICTD), BSEC